

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,612	04/30/2001	Hongtao Yu	UTSD:0795

CONFIRMATION NO. 2625

FORMALITIES LETTER

OC000000006140403

FULBRIGHT & JAWORSKI L.L.P.
Steven Highlander
Suite 2400
600 Congress Avenue
Austin, TX 78701

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/09/2002 STEUHEL1 00000039 09845612

01 FC:205

65.00 DP

FILED UNDER 37 CFR 1.53(b)

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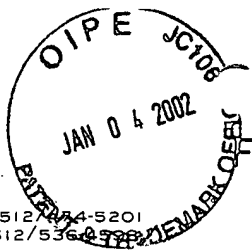
Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 65.
- o A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- o For Rules Interpretation, call (703) 308-4216
- o To Purchase PatentIn Software, call (703) 306-2600
- o For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov



TELEPHONE: 512/536-5201
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STEVEN L. HIGHLANDER
PARTNER

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SHIGHLANDER@FULBRIGHT.COM

DIRECT DIAL: 512/536-3184

FULBRIGHT & JAWORSKI L.L.P.

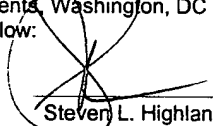
A REGISTERED LIMITED LIABILITY PARTNERSHIP
600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TEXAS 78701

Section
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November 5, 2001

FILE: UTSD:795US

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CERTIFICATE OF MAILING 37 C.F.R 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: <u>Box Missing Parts, Commissioner for Patents, Washington, DC 20231</u> , on the date below:	
November 5, 2001	
Date	
Steven L. Highlander	

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

RE: *SN 09/845,612 "A CLASS OF 12MER PEPTIDES THAT INHIBIT THE FUNCTION OF THE MITOTIC CHECK POINT PROTEIN MAD2" - Hongtao Yu et al.*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed June 4, 2001;
- (2) Declaration of Hongtao Yu, Zhanyun Tang, Xuelian Luo, and Jose Rizo-Rey;
- (3) Power of Attorney;
- (4) Statement as Required under 37 C.F.R. §1.825(a) and (b) and Statement as Required under 37 C.F.R. § 1.821(g);
- (5) Substitute Computer Readable Form;
- (6) Substitute Paper Copy of Sequence;
- (7) Request for Extension of Time;
- (8) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;

Commissioner for Patents
November 5, 2001
Page 2

- (9) Check in the amount of \$525.00; and
- (10) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10101381/SLH.

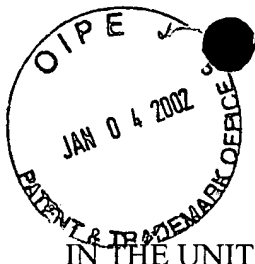
Respectfully submitted,

A handwritten signature in black ink, appearing to be "Steven L. Highlander", written over a circular stamp or seal.

Steven L. Highlander
Reg. No. 37,642

SLH/mar

Encl: as noted



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Hongtao Yu et al.

Serial No.: 09/845,612

Filed: April 30, 2001

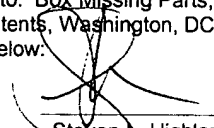
For: A CLASS OF 12MER PEPTIDES THAT
INHIBIT THE FUNCTION OF THE
MITOTIC CHECK POINT PROTEIN
MAD2

Group Art Unit: 1646

Examiner: Unknown

Atty. Dkt. No.: UTSD:795US/SLH

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CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date below.	
November 5, 2001	
Date	Steven L. Highlander

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated June 4, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Hongtao Yu, Zhanyun Tang, Xuelian Luo and Jose Rizo-Rey;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;
- (c) Statement as Required under 37 C.F.R. § 1.825(a) and (b) and Statement as Required under 37 C.F.R. § 1.821(g);

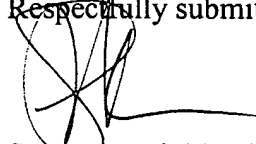
- (d) Substitute Computer Readable Form;
- (e) Substitute Paper Copy of Sequence;
- (f) Our check in the amount of \$525.00 to cover the surcharge for late filing (\$65.00);
three month extension of time fee (\$460.00);
- (g) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under
37 C.F.R. 1.53(b)-Filing Date Granted; and
- (h) A Request for Extension of Time of three months to and including November 4,
2001. Should such request be absent, consider this such a request and authorization
to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to Board of Regents, The University of Texas System and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10101381/SLH.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Steven L. Highlander
Reg. No. 37,642
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: November 5, 2001